### JC05 Rec'd PCT/PTO 04 OCT 2005

Date of Deposit: October 4, 2005

10/551944

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Tomomichi Hasgimoto et al.

International

Serial No. PCT/JP2004/05111

U.S. Serial No.: Applied for Examiner: Not Assigned

Intl. Filing Date: April 9, 2004

U.S. Filing Date: Herewith Art Unit: Not Assigned

Title: POLYORGANOSILOXANE-

CONTAINING GRAFT COPOLYMER, RESIN

COMPOSITIONS CONTAINING
THE SAME AND PROCESS
FOR PRODUCTION OF
POLYORGANOSILOXANE

**EMULSIONS** 

Attorney Docket No: 5404/121

#### INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following reference(s):

#### **U.S. PATENT DOCUMENTS**

DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME
5,219,932 A	06/15/1993	Yamamoto et al.
US 2002/0002063 A1	01/03/2002	Miyamoto et al.
US 2005/0038149 A1	02/17/2005	Hashimoto et al.

## JC05 Rec'd PCT/PTO 34 OCT 2005

FOREIGN PATENT DOCUMENTS 0/551944

DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY
JP 5-25228 A	02/02/1993	Japan
JP 7-33836 A	02/03/1995	Japan
JP 7-206951 A	08/08/1995	Japan
JP 8-3253 A	01/09/1996	Japan
JP 2000-17029 A	01/18/2000	Japan
JP 2000-264968 A	09/26/2000	Japan
JP 2002-26807 A	01/25/2002	Japan
JP 2002-201243 A	07/19/2002	Japan
JP 2003-20437 A	01/24/2003	Japan
JP 2003-96142 A	04/03/2003	Japan
JP 2003-238639 A	08/27/2003	Japan
JP 2003-327635 A	11/19/2003	Japan
EP 0 486 853 A1	05/27/1992	EPO

### OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

International Search Report from corresponding International Application No. PCT/JP2004/005111, dated July 13, 2004, 8 pages.

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2).

Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

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Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

October 4 2005

Date

BRINKS

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FORM PTO-1449	INTERNATIONAL	CASE NO.
	APPLICATION NO.	EE4 OAA
	PCT/JP2004 05 / 1	551 9 4 <b>4</b> 04/121
LIST OF PATENTS AND PUBLICATIONS FOR	INTERNATIONAL FILING	GROUP ART UNIT
APPLICANT'S INFORMATION DISCLOSURE	DATE	
STATEMENT	April 9, 2004	Not Assigned
(use several sheets if necessary)	APPLICANT(S): Tomomichi Has	sgimoto et al.

REFERENCE DESIGNATION U.S.

U.S. P.	ATEN	IT DO	CUMEN	NTS
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EXAMINER INITIAL	_	DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	5,219,932 A	06/15/1993	Yamamoto et al.		
	A2	US 2002/0002063 A1	01/03/2002	Miyamoto et al.		
	A3	US 2005/0038149 A1	02/17/2005	Hashimoto et al.		

### **FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A4	JP 5-25228 A	02/02/1993	Japan		Abstract
·	A5	JP 7-33836 A	02/03/1995	Japan		Abstract
	A6	JP 7-206951 A	08/08/1995	Japan		Abstract
	A7	JP 8-3253 A	01/09/1996	Japan		Abstract
	A8	JP 2000-17029 A	01/18/2000	Japan		Abstract
-	A9	JP 2000-264968 A	09/26/2000	Japan		Abstract
-	A10	JP 2002-26807 A	01/25/2002	Japan		Abstract
	A11	JP 2002-201243 A	07/19/2002	Japan		Abstract
	A12	JP 2003-20437 A	01/24/2003	Japan		Abstract
	A13	JP 2003-96142 A	04/03/2003	Japan		Abstract
	A14	JP 2003-238639 A	08/27/2003	Japan		Abstract
	A15	JP 2003-327635 A	11/19/2003	Japan		Abstract
	A16	EP 0 486 853 A1	05/27/1992	EPO		

EXAMINER		OTHER ART – NON PATENT LITERATURE DOCUMENTS
INITIAL		nclude name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial,
	sym	posium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.
	A17	International Search Report from corresponding International Application
		No. PCT/JP2004/005111, dated July 13, 2004, 8 pages.

EXAMINER	DATE CONSIDERED	
LYAIMINEK	DATE CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.